

Interactive Brokers Australia Pty Ltd., AFSL 453554, ABN 98 166 929 568  
Level 40, Grosvenor Place, 255 George Street, Sydney NSW

Account Information	
Name	Aware Investors Pty Ltd
Account	U5535762
Address of Account Holder(s)	U 5 7 Woods Pde Fairlight, AU-NSW 2094 Australia
Account Type	Institution Master
Customer Type	Corporate
Account Capabilities	Cash
Base Currency	AUD

Net Asset Value						
	SEPTEMBER 30, 2021		OCTOBER 29, 2021		CHANGE	
	TOTAL		LONG	SHORT		TOTAL
Cash	41,959.31		20,098.69	0.00	20,098.69	-21,860.63
Stock	132,246.18		167,408.38	0.00	167,408.38	35,162.20
Dividend Accruals	170.39		0.00	0.00	0.00	-170.39
<b>Total</b>	<b>174,375.88</b>		<b>187,507.07</b>	<b>0.00</b>	<b>187,507.07</b>	<b>13,131.18</b>
<b>Time Weighted Rate of Return</b>						<b>7.53%</b>



Analyze this data with PortfolioAnalyst.

CHANGE IN NAV	TOTAL
Starting Value	174,375.88
Mark-to-Market	13,137.78
Dividends	170.39
Change in Dividend Accruals	-170.39
Commissions	-6.00
GST	-0.60
Ending Value	187,507.07

## Mark-to-Market Performance Summary

## Realized &amp; Unrealized Performance Summary

## Cash Report

[Convert Your Cash Balances to AUD](#)

Base Currency Summary		TOTAL
Starting Cash		41,959.31
Commissions		-6.00
Dividends		170.39
Trades (Sales)		875.58
Trades (Purchase)		-22,900.00
GST		-0.60
Cash FX Translation Gain/Loss		0.00
Ending Cash		20,098.69
Ending Settled Cash		20,098.69

## Open Positions

SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
<b>Stocks</b>								
<b>AUD</b>								
A2M	900	1	5.6768889	5,109.20	6.2500	5,625.00	515.80	
AAC	2,000	1	1.1333	2,266.60	1.5800	3,160.00	893.40	
ADN	22,186	1	0.1863538	4,134.45	0.1750	3,882.55	-251.90	
ALC	5,000	1	0.35132	1,756.60	0.3850	1,925.00	168.40	
ALG	3,000	1	0.9272	2,781.60	1.4950	4,485.00	1,703.40	
ASB	1,500	1	2.0144	3,021.60	1.8800	2,820.00	-201.60	
AXE	3,000	1	0.8522	2,556.60	1.4600	4,380.00	1,823.40	
AXE.SPP2	20,000	1	1.0000	20,000.00	1.0000	20,000.00	0.00	

SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
BOE	10,000	1	0.13066	1,306.60	0.3050	3,050.00	1,743.40	
BTH	6,250	1	0.907056	5,669.10	1.1700	7,312.50	1,643.40	
CAN	5,000	1	0.40132	2,006.60	0.2950	1,475.00	-531.60	
CHL	3,000	1	1.3922	4,176.60	3.9400	11,820.00	7,643.40	
FLC	10,000	1	0.17566	1,756.60	0.1800	1,800.00	43.40	
FMG	400	1	17.0320	6,812.80	13.9300	5,572.00	-1,240.80	
HZR	8,260	1	0.9995075	8,255.93	1.3500	11,151.00	2,895.07	
ITM	11,251	1	0.1888721	2,125.00	0.2750	3,094.03	969.03	
JBH	100	1	45.0660	4,506.60	50.4900	5,049.00	542.40	
LEG	30,000	1	0.07722	2,316.60	0.0680	2,040.00	-276.60	
MDC	11,000	1	0.1984727	2,183.20	0.1550	1,705.00	-478.20	
NCM	200	1	27.628525	5,525.70	24.8900	4,978.00	-547.70	
PRO	3,000	1	0.5366	1,609.80	1.1500	3,450.00	1,840.20	
QAN	2,000	1	4.9666	9,933.20	5.3500	10,700.00	766.80	
TNT	10,000	1	0.22066	2,206.60	0.2150	2,150.00	-56.60	
TTB	5,000	1	0.27132	1,356.60	0.1700	850.00	-506.60	
WBT	2,000	1	2.8033	5,606.60	3.3900	6,780.00	1,173.40	
WSA	2,000	1	2.2433	4,486.60	3.1600	6,320.00	1,833.40	
YOJ	10,000	1	0.18066	1,806.60	0.1850	1,850.00	43.40	
Z1P	500	1	8.8332	4,416.60	6.5000	3,250.00	-1,166.60	
<b>Total</b>				<b>119,690.58</b>		<b>140,674.08</b>	<b>20,983.50</b>	
<b>USD</b>								
AI	100	1	50.6100	5,061.00	45.1200	4,512.00	-549.00	
CCL	100	1	25.5100	2,551.00	22.1600	2,216.00	-335.00	
SKLZ	200	1	11.5050	2,301.00	11.1800	2,236.00	-65.00	
TSLA	10	1	565.1000	5,651.00	1,114.0000	11,140.00	5,489.00	
<b>Total</b>				<b>15,564.00</b>		<b>20,104.00</b>	<b>4,540.00</b>	
<b>Total in AUD</b>				<b>20,697.01</b>		<b>26,734.30</b>	<b>6,037.29</b>	
<b>Total Stocks in AUD</b>				<b>140,387.59</b>		<b>167,408.38</b>	<b>27,020.79</b>	

## Trades

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
<b>Stocks</b>										
<b>AUD</b>										
FMG	2021-10-13, 12:35:03	200	14.5000	14.0000	-2,900.00	-6.60	2,906.60	0.00	-100.00	O
<b>Total FMG</b>		<b>200</b>			<b>-2,900.00</b>	<b>-6.60</b>	<b>2,906.60</b>	<b>0.00</b>	<b>-100.00</b>	
HZR	2021-10-15, 11:25:00	-0.6348	0.9201	1.4500	0.58	0.00	-0.67	-0.08	-0.34	C
<b>Total HZR</b>		<b>-0.6348</b>			<b>0.58</b>	<b>0.00</b>	<b>-0.67</b>	<b>-0.08</b>	<b>-0.34</b>	
ITM	2021-10-12, 11:25:00	-0.8924	0.0000	0.0000	0.00	0.00	0.00	0.00	0.00	C
<b>Total ITM</b>		<b>-0.8924</b>			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Total</b>					<b>-2,899.42</b>	<b>-6.60</b>	<b>2,905.93</b>	<b>-0.08</b>	<b>-100.34</b>	

## Corporate Actions

REPORT DATE	DATE/TIME	DESCRIPTION	QUANTITY	PROCEEDS	VALUE	REALIZED P/L	CODE
<b>Stocks</b>							
<b>AUD</b>							
2021-10-15	2021-10-12, 11:25:00	AXE(AU000000AXE7) Spinoff 100000 for 478551 (ITM, ITECH MINERALS LTD, AU0000177172)	626.8924	0.00	0.00	0.00	
2021-10-18	2021-10-19, 10:45:00	FOR SPP AXE.SPP2 (AU000AXE7PP2) AT 1.00 AUD (AXE.SPP2, ARCHER MATERIALS LTD - SHARE PURCHASE, AU000AXE7PP2)	20,000	-20,000.00	0.00	0.00	
2021-10-18	2021-10-15, 11:25:00	HZR.SPP1(AU000HZR9SPP) Merged(Acquisition) WITH AU000000HZR9 1086878261 FOR 1000000000 (HZR, HAZER GROUP LTD, AU000000HZR9)	3,260.6348	0.00	4,727.92	0.00	
2021-10-18	2021-10-15, 11:25:00	HZR.SPP1(AU000HZR9SPP) Merged(Acquisition) WITH AU000000HZR9 1086878261 FOR 1000000000 (HZR.SPP1, HAZER GROUP LTD - SHARE PURCHASE, AU000HZR9SPP)	-3,000	0.00	-3,000.00	0.00	
2021-10-27	2021-10-23, 11:25:00	AXE.SPP1(AU000AXE7PP1) Merged(Voluntary Offer Allocation) WITH AU0000177172 10 FOR 2 (AXE.SPP1, ARCHER MATERIALS LTD - SHARE PURCHASE, AU000AXE7PP1)	-2,125	0.00	-2,125.00	0.00	
2021-10-27	2021-10-23, 10:45:00	FOR SPP AXE.SPP1 (AU000AXE7PP1) AT 1.00 AUD (AXE.SPP1, ARCHER MATERIALS LTD - SHARE PURCHASE, AU000AXE7PP1)	-875	875.00	0.00	0.00	
2021-10-27	2021-10-23, 11:25:00	AXE.SPP1(AU000AXE7PP1) Merged(Voluntary Offer Allocation) WITH AU0000177172 10 FOR 2 (ITM, ITECH MINERALS LTD, AU0000177172)	10,625	0.00	2,815.62	0.00	
<b>Total</b>				<b>-19,125.00</b>	<b>2,418.55</b>	<b>0.00</b>	

## Dividends

DATE	DESCRIPTION	AMOUNT
<b>AUD</b>		
2021-10-01	NCM (AU000000NCM7) Cash Dividend AUD 0.55195253 (Ordinary Dividend)	110.39
2021-10-22	ASB(AU000000ASB3) Cash Dividend AUD 0.04 per Share (Mixed Income)	60.00
<b>Total</b>		<b>170.39</b>

<a href="#">Change in Dividend Accruals</a>
<a href="#">Financial Instrument Information</a>
<a href="#">Codes</a>
<a href="#">Notes/Legal Notes</a>

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