

Activity

U5535762

Activity Statement
October 1, 2021 - October 15, 2021Interactive Brokers Australia Pty Ltd., AFSL 453554, ABN 98 166 929 568
Level 40, Grosvenor Place, 255 George Street, Sydney NSW

Account Information

Net Asset Value

	SEPTEMBER 30, 2021		OCTOBER 15, 2021		CHANGE
	TOTAL	LONG	SHORT	TOTAL	
Cash	41,959.31	39,163.10	0.00	39,163.10	-2,796.21
Stock	132,246.18	143,054.57	0.00	143,054.57	10,808.38
Dividend Accruals	170.39	60.00	0.00	60.00	-110.39
Total	174,375.88	182,277.67	0.00	182,277.67	7,901.78
Time Weighted Rate of Return					4.53%



Analyze this data with PortfolioAnalyst.

	TOTAL
CHANGE IN NAV	
Starting Value	174,375.88
Mark-to-Market	7,908.38
Dividends	110.39
Change in Dividend Accruals	-110.39
Commissions	-6.00
GST	-0.60
Ending Value	182,277.67

Mark-to-Market Performance Summary

Realized & Unrealized Performance Summary

Cash Report

	TOTAL
Base Currency Summary	
Starting Cash	41,959.31
Commissions	-6.00
Dividends	110.39
Trades (Purchase)	-2,900.00
GST	-0.60
Cash FX Translation Gain/Loss	0.00
Ending Cash	39,163.10
Ending Settled Cash	39,163.10

Open Positions

SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
Stocks								
AUD								
A2M	900	1	5.6768889	5,109.20	6.7200	6,048.00	938.80	
AAC	2,000	1	1.1333	2,266.60	1.4550	2,910.00	643.40	
ADN	22,186	1	0.1863538	4,134.45	0.2050	4,548.13	413.68	
ALC	5,000	1	0.35132	1,756.60	0.3500	1,750.00	-6.60	
ALG	3,000	1	0.9272	2,781.60	1.5050	4,515.00	1,733.40	
ASB	1,500	1	2.0144	3,021.60	1.8800	2,820.00	-201.60	
AXE	3,000	1	0.8522	2,556.60	1.6000	4,800.00	2,243.40	
AXE.SPP1	3,000	1	1.0000	3,000.00	1.0000	3,000.00	0.00	
BOE	10,000	1	0.13066	1,306.60	0.2700	2,700.00	1,393.40	

SYMBOL	QUANTITY	MULT	COST PRICE	COST BASIS	CLOSE PRICE	VALUE	UNREALIZED P/L	CODE
BTH	6,250	1	0.907056	5,669.10	1.2250	7,656.25	1,987.15	
CAN	5,000	1	0.40132	2,006.60	0.2825	1,412.50	-594.10	
CHL	3,000	1	1.3922	4,176.60	3.3900	10,170.00	5,993.40	
FLC	10,000	1	0.17566	1,756.60	0.2000	2,000.00	243.40	
FMG	400	1	17.0320	6,812.80	14.6000	5,840.00	-972.80	
HZR	5,000	1	1.05132	5,256.60	1.4000	7,000.00	1,743.40	
HZR.SPP1	3,000	1	1.0000	3,000.00	1.0000	3,000.00	0.00	
ITM	626	1	0.0000	0.00	0.0000	0.00	0.00	
JBH	100	1	45.0660	4,506.60	46.8600	4,686.00	179.40	
LEG	30,000	1	0.07722	2,316.60	0.0700	2,100.00	-216.60	
MDC	11,000	1	0.1984727	2,183.20	0.1550	1,705.00	-478.20	
NCM	200	1	27.628525	5,525.70	24.6700	4,934.00	-591.70	
PRO	3,000	1	0.5366	1,609.80	0.9500	2,850.00	1,240.20	
QAN	2,000	1	4.9666	9,933.20	5.6900	11,380.00	1,446.80	
TNT	10,000	1	0.22066	2,206.60	0.2400	2,400.00	193.40	
TTB	5,000	1	0.27132	1,356.60	0.1850	925.00	-431.60	
WBT	2,000	1	2.8033	5,606.60	3.4400	6,880.00	1,273.40	
WSA	2,000	1	2.2433	4,486.60	3.2700	6,540.00	2,053.40	
YOJ	10,000	1	0.18066	1,806.60	0.2050	2,050.00	243.40	
Z1P	500	1	8.8332	4,416.60	6.8500	3,425.00	-991.60	
Total				100,566.25		120,044.88	19,478.63	
USD								
AI	100	1	50.6100	5,061.00	45.3000	4,530.00	-531.00	
CCL	100	1	25.5100	2,551.00	23.6700	2,367.00	-184.00	
SKLZ	200	1	11.5050	2,301.00	8.7300	1,746.00	-555.00	
TSLA	10	1	565.1000	5,651.00	843.0300	8,430.30	2,779.30	
Total				15,564.00		17,073.30	1,509.30	
Total in AUD				20,975.60		23,009.69	2,034.08	
Total Stocks in AUD				121,541.85		143,054.57	21,512.71	

Trades

SYMBOL	DATE/TIME	QUANTITY	T. PRICE	C. PRICE	PROCEEDS	COMM/FEE	BASIS	REALIZED P/L	MTM P/L	CODE
Stocks										
AUD										
FMG	2021-10-13, 12:35:03	200	14.5000	14.0000	-2,900.00	-6.60	2,906.60	0.00	-100.00	O
Total FMG		200			-2,900.00	-6.60	2,906.60	0.00	-100.00	
ITM	2021-10-12, 11:25:00	-0.8924	0.0000	0.0000	0.00	0.00	0.00	0.00	0.00	C
Total ITM		-0.8924			0.00	0.00	0.00	0.00	0.00	
Total					-2,900.00	-6.60	2,906.60	0.00	-100.00	

Corporate Actions

Dividends

DATE	DESCRIPTION	AMOUNT
AUD		
2021-10-01	NCM (AU000000NCM7) Cash Dividend AUD 0.55195253 (Ordinary Dividend)	110.39
Total		110.39

Change in Dividend Accruals

Financial Instrument Information

Codes

Notes/Legal Notes

- Notes**
- This statement has been prepared by Interactive Brokers Australia Pty Ltd AFSL 453554, ABN 98 166 929 568, Level 40, Grosvenor Place, 255 George Street, Sydney NSW to confirm the Transactions covered herein.
IBA is a participant of ASX market, the ASX 24 market and the Chi-X Australia market.
 - Transactions in products on Australia exchanges which settle via CHES (including ordinary shares, units, bonds, hybrids, CDs, exchange-traded Australian Government Bonds (AGBs), exchange-traded products (including ETFs), warrants and instalments) settle on trade date plus two days, i.e. T+2, and options and futures settle on trade date plus one day, i.e. T+1. Share (including stock) transactions on international exchanges generally settle on trade date plus 3 days, i.e. T+3 (some exchanges have longer or shorter settlement periods) and options and futures traded on international exchanges settle on the trade date plus one day, i.e. T+1. A reference to a "trade date" is a reference to the trade date in the place where the market for the relevant product is located. Ending settled cash reflects the cash which has actually settled.
 - Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
 - Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
 - In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
 - Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.

7. Times are displayed in Australian Eastern Standard Time (AEST)/Australian Eastern Daylight Savings Time (AEDT); there will therefore be a difference between the times reported in this statement/report and the times that a trade is reported by the exchange to have occurred in markets which operate in a different time zone. This report covers the period which begins at 10am on a given day through to 10am on the day following the given day unless otherwise indicated.

8. You are responsible for promptly checking this statement. You must immediately notify IBA if you become aware that there is an error in this statement. We are entitled to assume that the trades confirmed in this statement are correct unless you notify us of any error within 24 hours following IBA (or someone on its behalf) giving you this statement.

If IBA confirms execution or cancellation in error and you delay reporting such error, IBA reserves the right to remove the Transaction from the account or require you to accept the Transaction, in IBA's absolute discretion.

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9. Unless otherwise noted, Interactive Brokers acted as agent in the execution of all exchange traded products. IBA acts as principal in all over-the-counter transactions, including foreign currency spot and contracts for difference.
10. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IBA, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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If your transaction was a crossing transaction, IBA may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IBA enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.

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3. Trades marked with the exchange indicator of TMBR were effected by IBA as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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